



PATENT

Our Docket: P41 9380

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
 Harpold et al.

Serial No.: 07/938,154

Filed: November 30, 1992

For: HUMAN NEURONAL NICOTINIC

ACETYLCHOLINE RECEPTOR COMPOSITIONS AND METHODS

BOX SEQUENCE HON. COMMISSIONER OF PATENTS & TRADEMARKS WASHINGTON, D.C. 20231 I hereby certify that this correspondence is being deposited with the United States Pontal Service as first class mail in an envelope addr. and one of the profession of the p

Stepken E. Reiter R.g. No. 1119:

## STATEMENT UNDER 37 CFR §1.821(g)

Sir:

I hereby state that the content of the computer-readable Sequence Listing herewith submitted in accordance with 37 CFR §1.821(g) is the same as the hard-copy Sequence Listing, introduced by Preliminary Amendment, set forth in new pages 33-40 of U.S. Patent Application Serial No. 07/938,154 filed November 30, 1992; and the computer-readable submission includes no new matter.

Respectfully submitted,

10/18/17 Date

у:\_\_\_\_\_

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NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
1. This application clearly fails to comply with the requirements of 37 CFR 1.821
- 1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 18, 1990 and at 55 FR 18230, May 1, 1990.
2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
4. A copy of the "Sequence Listing" in computer readable form has been submitted.
However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
5. The computer readable form that has been filed with this application has been
found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
7. Other: ————————————————————————————————————
Applicant must provide:
An initial or substitute computer readable form (CRF) copy of the "Sequence
Listing"  An initial or substitute paper copy of the "Sequence Listing", as well as an
amendment directing its entry into the specification
A statement that the content of the paper and computer readable copies are the same
and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)
For questions regarding compliance with these requirements, please contact:
For Rules Interpretation, call (703) 308-1123 For CRF submission help, call (703) 308-4212

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NOTICE\_TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 -RADE application clearly fails to comply with the requirements of 37 CFR 1.821 - 1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 18, 1990 and at 55 FR 18230, May 1, 1990. This application does not contain, as a separate part of the disclosure on paper/copy, a "Sequence Listing" as required by 37 CFR 1.821(c). 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d). The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). 7. Other: -Applicant must provide: An initial or substitute computer readable form (CRF) copy of the "Sequence Listing" An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d) For questions regarding compliance with these requirements, please contact:

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